

SEC Monitoring Impact of California Wildfires on Capital Markets

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For Immediate Release

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Washington D.C., Jan. 15, 2025 —

The Securities and Exchange Commission is closely monitoring the impact of the California wildfires on investors and capital markets.

The SEC divisions and offices that oversee companies, accountants, investment advisers, mutual funds, brokerage firms, transfer agents, and other regulated entities and investment professionals will continue to closely track developments. They will evaluate the possibility of granting relief from filing deadlines and other regulatory requirements for those affected by the wildfires. Entities and investment professionals affected by the California wildfires are encouraged to contact SEC staff with questions and concerns:

- Division of Examinations staff in the Commission's Los Angeles Regional Office can be reached by phone at 323-965-3998 or email at losangeles@sec.gov
- Division of Corporation Finance staff can be reached by phone at 202-551-3500 or via online submission at www.sec.gov/forms/corp_fin_interpretive
- Division of Investment Management staff can be reached by phone at 202-551-6825 or email at imocc@sec.gov
- Division of Trading and Markets staff can be reached by phone at 202-551-5777 or email at tradingandmarkets@sec.gov
- Office of Municipal Securities staff can be reached by phone at 202-551-5680 or email at munis@sec.gov

The SEC's Office of Investor Education and Advocacy issued an [Investor Alert](#) urging investors to be vigilant for California wildfire-related investment frauds, and encourages investors to check the background of anyone offering them an investment by using the free and simple search tool on Investor.gov. Individuals experiencing problems accessing their securities accounts or with similar questions or concerns relating to the wildfires are encouraged to contact the Office of Investor Education and Advocacy by phone at 1-800-SEC-0330 or email at help@sec.gov.

Investors should be vigilant for California wildfires-related securities scams and check the background of anyone offering them an investment by using the free and simple search tool on Investor.gov. The SEC's Division of Enforcement will vigorously prosecute those who

attempt to defraud victims of the wildfires. The SEC is asking investors to report any suspicious solicitations at www.sec.gov/complaint/tipscomplaint.shtml.

What DHS and FEMA are doing

English: <https://www.fema.gov/california-wildfires>

Spanish: <https://www.fema.gov/es/california-wildfires>

What the U.S. government is doing

English: <https://www.usa.gov/california-wildfires>

Spanish: <https://usa.gov/es/california-incendios-forestales>

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