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PRESS RELEASE

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SEC Updates List of Firms Using Inaccurate Information to Solicit Investors

FOR IMMEDIATE RELEASE | 2024-76

Washington D.C., June 24, 2024 — The Securities and Exchange Commission today announced that it updated its list of unregistered entities that use misleading information to solicit primarily non-U.S. investors, adding 24 soliciting entities, six impersonators of genuine firms, and four bogus regulators.

The SEC's list of soliciting entities that have been the subject of investor complaints, known as the [Public Alert: Unregistered Soliciting Entities \(PAUSE\) list](#), enables investors to better inform themselves and avoid being victims of fraud. The [latest additions](#) are firms that SEC staff found were providing inaccurate information about their affiliation, location, or registration. Under U.S. securities laws, firms that solicit investors generally are required to register with the SEC and meet minimum financial standards and disclosure, reporting, and recordkeeping requirements.

In addition to alerting investors to firms falsely claiming to be registered, the PAUSE list flags those impersonating registered securities firms and bogus regulators who falsely claim to be government agencies or affiliates. Inclusion on the PAUSE list does not mean the SEC has found violations of U.S. federal securities laws or made a judgment about the merits of any securities being offered.

The PAUSE list is periodically updated by the SEC's Office of Market Intelligence, in coordination with the Office of Investor Education and Advocacy and the Office of International Affairs.

How to protect yourself:

Be aware that communications — including phone calls, voicemails, text messages, messages via social media, emails, letters, and certificates — may falsely appear to be from official U.S. government sources, including the SEC. If you receive a communication that appears to be from the SEC, do not provide any personal information until you have verified that you are dealing with someone from the SEC and not an impersonator.

AI technology has made it even easier for fraudsters to impersonate government agencies, organizations, and individuals in luring investors into scams.

To see more information on how fraudsters lure victims into scams see our [investor alert](#).

###

Last Reviewed or Updated: July 2, 2024

RESOURCES

- [SEC: Public Alert: Unregistered Soliciting Entities \(PAUSE\)](#)

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